

Impact of rehabilitation programs on dependency and functional performance of patients with major lower limb amputations

A retrospective chart review in western Saudi Arabia

Mohammad A. AlSofyani, MD, Abdulaziz S. AlHarthi, MD, Fayssal M. Farahat, MD, PhD, Wesam T. Abuznadah, MD, FRCS(C).

ABSTRACT

الأهداف: لتحديد نمط وتأثير إعادة التأهيل البدني على الاعتماد والأداء الوظيفي للمرضى.

الطريقة: أجري هذا الاستعراض بأثر رجعي خلال الفترة من يوليو وأغسطس م في مدينة الملك عبد العزيز الطبية، جدة، المملكة العربية السعودية. وقد تم جمع البيانات باستخدام الديموغرافية وقوائم المراجعة السريرية، وتقييم الاعتماد.

النتائج: في هذه الدراسة أدرجنا المرضى الذين خضعوا لعمليات كبرى لبتير الأطراف السفلى خلال الفترة من يناير 2007م وأبريل 2012م (العدد= 121). كان هناك 84 (69.4%) ذكور و 37 (30.6%) أنثى مع متوسط \pm الانحراف المعياري 63.3 ± 17.4 عام. كان داء السكري السبب الأكثر شيوعاً في 63.6% من المرضى. كما أن الثلث فقط من مبتوري الأطراف (32.2%) لديهم سجلات إنجاز برامج إعادة تأهيلهم، على الرغم من أن 20.7% منهم أكملن أكثر من 50% من جلسات إعادة التأهيل المقررة، وحضر 17.2% ما بين 50% و 80%، والباقي 62.1% حضر أكثر من 80% من الدورات المقررة. مؤشرات القوة العضلية في كل جانب من الأطراف العلوية والسفلية تحسنت بشكل أفضل بكثير بعد إعادة التأهيل ($p < 0.0001$). كما أن الوظائف الأساسية للتنقل والنقل تحسنت أيضاً بشكل ملحوظ ($p < 0.05$).

الخلاصة: أن الاعتماد الشامل والأداء الوظيفي تحسن بشكل أفضل بعد تنفيذ برامج إعادة التأهيل البدني. يعد نهج فريق متعدد التخصصات إلزامي لتحسين امتثال المرضى نحو برامج إعادة التأهيل.

Objectives: To determine pattern and impact of physical rehabilitation on dependency and functional performance of patients.

Methods: This retrospective chart review was carried out between July and August 2012 at King Abdulaziz Medical City, Jeddah, Saudi Arabia. Data were collected using demographic, clinical, and dependency assessment checklists.

Results: Patients who underwent major lower limb amputations between January 2007 and April 2012 (n=121) were included in the study. There were 84 (69.4%) male and 37 (30.6%) female patients with a mean \pm standard deviation of 63.3 ± 17.4 years old. Diabetes mellitus was the most frequent cause in 63.6% of patients. Only one-third of the amputees (32.2%) have records of completion of their rehabilitation programs, although 20.7% of them completed the <50% of the scheduled rehabilitation sessions, 17.2% attended between 50% and 80%, and the remaining 62.1% attended more than 80% of the scheduled sessions. Muscle power scores in each side of the upper and lower limbs were significantly better following rehabilitation ($p < 0.0001$). Basic functions of mobility and transfer have also significantly improved ($p < 0.05$).

Conclusions: Overall dependency and functional performance were significantly better following implementation of the physical rehabilitation programs. A multidisciplinary team approach is mandatory to improve compliance of patients toward the rehabilitation programs.

Saudi Med J 2016; Vol. 37 (10): 1109-1113

doi: 10.15537/smj.2016.10.16033

From the College of Medicine (AlSofyani, AlHarthi), Taif University, Taif, King Saud bin Abdulaziz University for Health Sciences (Farahat, Abuznadah), King Abdullah International Medical Research Center, King Abdulaziz Medical City, Ministry of National Guard-Health Affairs, Jeddah, Kingdom of Saudi Arabia, and the Faculty of Medicine (Farahat), Menoufia University, Menoufia, Egypt.

Received 31st May 2016. Accepted 29th July 2016.

Address correspondence and reprint request to: Dr. Fayssal M. Farahat, Department of Infection Prevention and Control, King Abdulaziz Medical City, Jeddah, Kingdom of Saudi Arabia. E-mail: farahatfa@ngha.med.sa

Major lower limb amputation leads to losing the ability to move and to remain independent. It affects both physical and psychological well-being of patients. The main causes of major lower limb amputation include diabetes mellitus, vascular occlusive disease, trauma, and congenital deformities. Most commonly are due to vascular problems.¹⁻³ Although more aggressive and improved vascular revascularization methodologies demonstrated decreases in amputation rates in some individual reports, identification of the high-risk populations, early detection, and proper risk management will remain the cornerstone for all attempts to reduce the incidence of non-revascularizable lower extremity vascular pathology requiring amputation.⁴

Rehabilitation programs are designed to restore mobility and regain an acceptable level of functioning. Functional outcomes of amputee patients have been reported to be associated with early rehabilitation intervention, adherence rate of the patients to rehabilitation,⁵ and level of the amputation.⁶ Other predictors include age of the patient, physical condition, and presence of comorbidities (cardiopulmonary diseases or diseases of the locomotor system).^{2,6,7} The available literature focused on prosthetic use, although integrated rehabilitation programs (community-based rehabilitation) that include patient education and periodic assessment of the prostheses and assistive devices showed better functional outcomes.⁸

The current study focused on determining patterns and impacts of the rehabilitation programs on dependency and functional performance of patients with major lower limb amputations in King Abdulaziz Medical City, Jeddah, Saudi Arabia.

Methods. This retrospective chart review was conducted between July and August 2012 at King Abdulaziz Medical City, western region (KAMC-WR) (a tertiary care healthcare facility with a 500-bed hospital). All medical records of patients with major lower limb amputations during the period from 2007 to 2012 were reviewed. No specific exclusion criteria were applied. Those patients included were National Guard military personnel and their families (father, mother, and siblings) who are eligible for services in KAMC-WR.

Disclosure. Authors have no conflict of interests, and the work was not supported or funded by any drug company.

Data were collected using a checklist of demographic and clinical data (age, gender, comorbidities), amputation assessment (cause, type and date of amputation), and dependency assessment (namely, mobility and transfer, sitting and standing balance and ambulation if independent, assistive device, wheel chair, or bedridden) before and after the physical rehabilitation intervention.

This study was approved by the Institutional Review Board of King Abdullah International Medical Research Center, Jeddah, Saudi Arabia.

Statistical analysis. Data were collected and statistically analyzed using the IBM SPSS Statistics for Windows version 20.0 (IBMCorp, Armonk, NY, USA). Descriptive statistics including number, percent, mean, and standard deviation were used. Paired t test was used to compare mean scores of muscle power before and after rehabilitation. Chi square test or Fisher's exact test were applied to compare association between mobility and transfer functions and degree of dependency. Level of significance was determined at $p < 0.05$.

Results. The total number of patients who underwent major lower limb amputation between January 2007 and April 2012 was 121. The number of amputation cases was distributed by year as follows: 2007 (n=25), 2008 (n=18), 2009 (n=23), 2010 (n=21), 2011 (n=25), and April 2012 (n=9). There were 84 (69.4%) male and 37 (30.6%) female patients with a mean \pm standard deviations age of 63.3 ± 17.4 years (range 15-95 years). Diabetes mellitus was the most frequent cause during the first amputation (Table 1). All amputations among diabetic patients were non-traumatic (Table 1). An equal percentage of patients had below and above knee amputation. Only one-third of the amputees received rehabilitation at King Abdulaziz Medical City Hospital (Table 1).

Figure 1 shows the compliance of patients with scheduled rehabilitation intervention sessions

Among patients who received physical rehabilitation intervention at the study hospital (n=39), the mean muscle power score in each side of the upper and lower limbs was significantly better following rehabilitation ($p=0.001$) (Figure 2).

Table 2 summarized the percentage of patients who were able to perform the basic functions of getting up and down from the bed, rolling, lying to setting, sitting to standing, and bed to chair/chair to bed independently increased to more than double following rehabilitation. The degree of dependency statistically improved the following rehabilitation ($p < 0.05$). The overall mortality

Table 1 - Demographic and clinical characteristics of 121 patients who underwent major lower limb amputation.

Variables	n (%)
<i>Gender</i>	
Male	84 (69.4)
Female	37 (30.6)
Age in years (mean±SD)	63.3 ± 17.4
Range (years)	15.0 - 95.0
<i>Contributing etiologies for first amputation*</i>	
Diabetes mellitus	77 (63.6)
Problems with peripheral circulation	20 (16.5)
Trauma	9 (7.4)
Lower extremity cancer	9 (7.4)
Chronic osteomyelitis	2 (1.7)
Skin breakdown	2 (1.7)
Systemic sepsis	1 (0.8)
Local significant infection	1 (0.8)
<i>Contributing etiologies for re-amputation or second major lower limb amputation*</i>	
Diabetes mellitus	10 (55.6)
Problems with peripheral circulation	3 (16.7)
Previous amputation complication	3 (16.7)
Local significant infection	1 (5.6)
Skin breakdown	1 (5.6)
<i>Level of first amputation</i>	
Below knee	61 (50.4)
Above knee	60 (49.6)
<i>Site of first amputation</i>	
Right limb	63 (52.1)
Left limb	56 (46.3)
Bilateral	2 (1.7)
<i>Rehabilitation received at King Abdulaziz Medical City, Western Region</i>	
Received	39 (32.2)
Not received	82 (67.8)

*Classification based on the International Encyclopedia of Rehabilitation 2010

among the studied patients following amputation was reported as 4% after 3 months and 20% after 3 years.

Discussion. This study showed an almost consistent number of patients who underwent major lower limb amputation throughout the years between 2007 and 2012. This consistency may not reflect the overall trend of amputation rates in Saudi Arabia because of the single-center experience and limited population served in the study hospital. Several studies in the Western countries showed decline in the overall amputation rates in the past 20-30 years.⁹⁻¹¹ Factors contributed to the decline included early detection, frequent referral for diagnostic imaging, and the substantial advances in diagnostic modalities, peripheral vascular techniques, and endovascular technology. Socioeconomic status and access to care have been discussed and may affect the geographic variation of amputation rates in the United States.⁹⁻¹¹

Diabetes mellitus continues to be the major risk factor associated with amputation among the studied population and previous similar studies.¹² The prevalence of diabetes mellitus has been estimated to affect approximately one-third of the population in Saudi Arabia and according to the World Health Organization, it is estimated to double by 2030.¹³ The increasing trend in the incidence of diabetes mellitus in association with other factors (lack of early detection of peripheral artery disease) may add to the disease burden and affect rates of lower extremities amputations.

More than two-thirds of the amputee patients in the current study did not receive rehabilitation services following amputation and approximately 40% of those

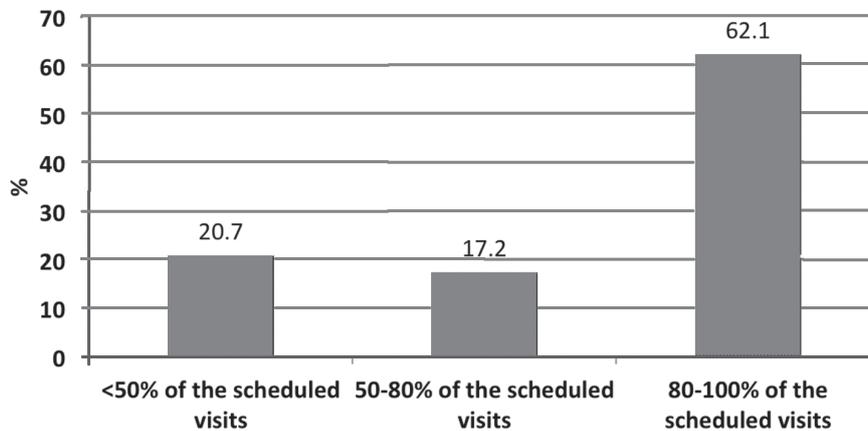


Figure 1 - Compliance of patients with scheduled rehabilitation intervention sessions at King Abdulaziz Medical City, Western Region, Saudi Arabia (n=39).

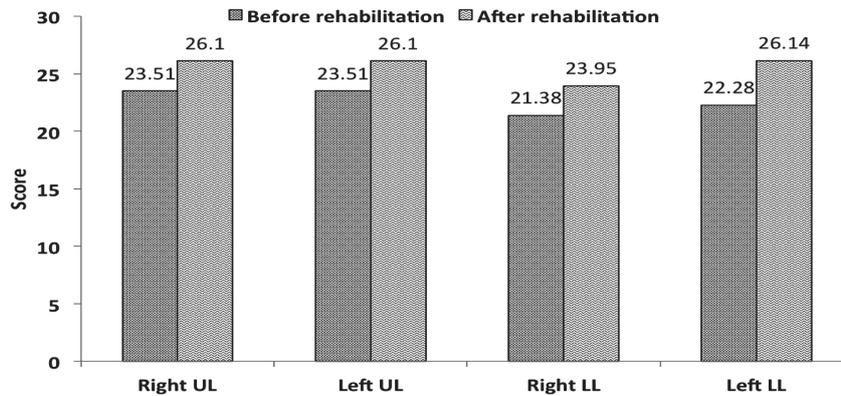


Figure 2 - Muscle power scores in each side of the upper limbs (UL) and lower limbs (LL) before and after rehabilitation among patients who received rehabilitation (n=39) ($p=0.001$).

Table 2 - Patients perform different mobility and transfer functions independently, with assistance, or totally dependent before and after rehabilitation (n=27).

Function	Independent		With assistance		Totally dependent		P-value
	Before	After	Before	After	Before	After	
Up and down bed	8 (29.6)	17 (63.0)	17 (63.0)	9 (33.3)	2 (7.4)	1 (3.7)	0.03
Rolling	8 (29.6)	17 (63.0)	17 (63.0)	9 (33.3)	2 (7.4)	1 (3.7)	0.03
Lying to sitting	6 (22.2)	17 (63.0)	19 (70.4)	9 (33.3)	2 (7.4)	1 (3.7)	0.006
Sit to stand	4 (14.8)	13 (48.1)	19 (70.4)	13 (48.1)	4 (14.8)	1 (3.7)	0.02
Bed to chair/chair to bed	4 (14.8)	13 (48.1)	19 (70.4)	13 (48.1)	4 (14.8)	1 (3.7)	0.02

Data are expressed as number and percentage (%)

who underwent rehabilitation did not complete the scheduled services. Lack of rehabilitation services, or false lack due to the possibility that they received service in other hospital/center; however, this possibility is very unlikely as the rehabilitation services are provided for free for all eligible patients. In the meantime, rehabilitation completion rates might be also affected by the mortality and other postoperative complications.

The functional outcome data in the current study was collected based on physical therapy and rehabilitation notes, and follow-up history and examination in the vascular surgery clinics. It showed significant improvement of muscle power of the upper and lower limbs as well as the functional mobility and transfer following implementation of the rehabilitation program.

Ambulation is the main target of intervention programs following lower limb amputation;¹⁴ accordingly, when the ambulation could not be achieved, long-term interventions are required.¹⁵

A 5-year review of US patients revealed 7.5-year survival rates of 20% following above-knee amputation and 28% below-knee amputation operations.¹⁶ Previous

studies¹⁷ in the United States showed a 26% mortality rate and 36% in Finland within one year following amputation. The overall mortality rate in the current study was 20% after 3 years. Similar to Carlos et al,⁴ the high mortality rates and poor survival probabilities observed are reflective of the multiple comorbidities seen in this population. Additionally, other factors may contribute to the increased mortality including what has been reported by Abou-Zamzam et al¹⁸ one-fourth of the amputees present to the attention of the vascular surgeon at very late stages into their vascular pathology with extensive gangrene or infection that precludes limb salvage. This study represents an experience of a cohort of patients with major lower limb amputations in a single hospital over a 6-year period.

Study limitations are related to the retrospective design and incomplete data in the medical records. Getting information from patients was also a challenge partly due to the unavailability of correct contact numbers of some patients, or inability of patients to recall rehabilitation service history. Further studies are needed to confirm and compare findings using wider prospective multicenter data that focuses on determining

predictors of a wide range of functional outcomes following lower limb amputations (including physical, mental, and social characteristics of the patients and rehabilitation specific factors, such as duration, type).

In conclusion, diabetes mellitus was the most frequent cause of amputation. Only one-third of the amputees received rehabilitation at King Abdulaziz City hospital. Muscle power of the upper and lower limbs as well as independent performance of functions of getting up and down from the bed, rolling, lying to setting, sitting to standing, and bed to chair/chair improved significantly following rehabilitation interventions.

Improving awareness of patients and their caregivers and counseling on post-amputation goals would enhance patients' compliance with the clinically decided rehabilitation programs. A multidisciplinary team approach and in-depth understanding of the functional consequences of amputation and systemic and detailed consideration of the patients and their environment should be the basis for the post-amputation follow up programs.¹⁹

Acknowledgment. *This study was conducted as part of the Students' Research Summer School at King Abdullah International Medical Research Center, Jeddah, Saudi Arabia.*

References

1. Font-Jiménez I, Llauro-Serra M, Roig-Garcia M, De Los Mozos-Perez B, Acebedo-Urdiales S. Retrospective study of the evolution of the incidence of non-traumatic lower-extremity amputations (2007-2013) and risk factors of reamputation. *Prim Care Diabetes* 2016; 12: pii: S1751-9918(16)30020-1.
2. van Twillert S, Stuive I, Geertzen JH, Postema K, Lettinga AT. Functional performance, participation and autonomy after discharge from prosthetic rehabilitation: barriers, facilitators and outcomes. *J Rehabil Med* 2014; 46: 915-923.
3. Pernot HF, Winnubst GM, Cluitmans JJ, De Witte LP. Amputees in Limburg: incidence, morbidity and mortality, prosthetic supply, care utilization and functional level after one year. *Prosthet Orthot Int* 2000; 24: 90-96.
4. Penn-Barwell JG. Outcomes in lower limb amputation following trauma: a systematic review and meta-analysis. *Injury* 2011; 42: 1474-1479.
5. Kosse NM, Dutmer AL, Dasenbrock L, Bauer JM, Lamoth CJC. Effectiveness and feasibility of early physical rehabilitation programs for geriatric hospitalized patients: a systematic review. *BMC Geriatrics* 2013; 13: 107.
6. Geertzen JH, Martina JD, Rietman HS. Lower limb amputation. Part 2: Rehabilitation-a 10 year literature review. *Prosthet Orthot Int* 2001; 25: 14-20.
7. Saeed AB, Saeed UB, Zain-Ur-Rehman M, Ahmad Khan RD, Yasin A. Factors affecting functional outcome after lower extremity amputation. *J Pak Med Assoc* 2015; 65 (11 Suppl 3): S220-S224.
8. ISPO/WHO statement. The relationship between prosthetics and orthotics services and community based rehabilitation (CBR). [Updated 2003 November; Accessed 2016 June 04 2016]. Available from URL: http://www.who.int/disabilities/technology/po_services_cbr.pdf
9. Gregg EW, Li Y, Wang J, Burrows NR, Ali MK, Rolka D, et al. Changes in diabetes-related complications in the United States, 1990-2010. *N Engl J Med* 2014; 370: 1514-1523.
10. Jones WS, Patel MR, Dai D, Subherwal S, Stafford J, Calhoun S, et al. Temporal trends and geographic variation of lower-extremity amputation in patients with peripheral artery disease: results from U.S. Medicare 2000-2008. *J Am Coll Cardiol* 2012; 60: 2230-2236.
11. Goodney PP, Travis LL, Brooke BS, DeMartino RR, Goodman DC, Fisher ES, et al. Relationship between regional spending on vascular care and amputation rate. *JAMA Surg* 2014; 149: 34-42.
12. Kayssi A, de Mestral C, Forbes TL, Roche-Nagle G. A Canadian population-based description of the indications for lower-extremity amputations and outcomes. *Can J Surg* 2016; 59: 99-106.
13. Al-Baghli NA, Al-Ghamdi AJ, Al-Turki KA, Al Elq AH, El-Zubaier AG, Bahnassy A. Prevalence of diabetes mellitus and impaired fasting glucose levels in the Eastern Province of Saudi Arabia: results of a screening campaign. *Singapore Med J* 2010; 51: 923-930.
14. Critical limb ischaemia: management and outcome. Report of a national survey. The Vascular Surgical Society of Great Britain and Ireland. *Eur J Vasc Endovasc Surg* 1995; 10: 108-113.
15. Nehler MR, Coll JR, Hiatt WR, Regensteiner JG, Schnickel GT, Klenke WA, et al. Functional outcome in a contemporary series of major lower extremity amputations. *J Vasc Surg* 2003; 38: 7-14.
16. Feinglass J, Pearce WH, Martin GJ, Gibbs J, Cowper D, Sorensen M, et al. Postoperative and late survival outcomes after major amputation; findings from the Department of Veterans Affairs national surgical quality improvement program. *Surgery* 2001; 130: 21-29.
17. Pernot HF, de Witte LP, Lindeman E, Cluitmans J. Daily functioning of the lower extremity amputee: an overview of the literature. *Clin Rehabil* 1997; 11: 93-106.
18. Abou-Zamzam AM, Teruya TH, Killeen JD, Ballard JL. Major lower extremity amputation in an academic vascular center. *Ann Vasc Surg* 2003; 17: 86-90.
19. Kohler F, Cieza A, Stucki G, Geertzen J, Burger H, Dillon MP, et al. Developing Core Sets for persons following amputation based on the International Classification of Functioning, Disability and Health as a way to specify functioning. *Prosthet Orthot Int* 2009; 33: 117-129.