Diagnosis and management of childhood sleep-disordered breathing

Clinical approach

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ABSTRACT

Sleep-disordered breathing (SDB) includes disorders of breathing that affect airway patency, which impair children's sleep and lead to negative consequences. Obstructive sleep apnea, hypoventilation and upper airway resistance syndrome are common causes of morbidity and mortality in childhood. These clinical practice guidelines, intended for use by pediatricians and primary care clinicians, provide a clear recommendation for the diagnosis and management of sleep-disordered breathing, focusing on the most serious disorder, obstructive sleep apnea syndrome (OSAS). These clinical guidelines formulate clear recommendations to identify patients with suspected OSAS. Further, the manuscript will highlight the potential consequences of SBD in children, and how to overcome such difficulties, what could be the therapeutic options, a 12 recommendations and what are the future direction for pediatric sleep medicine.

Keywords: sleep-disordered breathing, obstructive sleep apnea syndrome (OSAS); obesity; polysomnography; adenotonsillectomy; continuous positive airway pressure (CPAP)

Pediatric obstructive sleep apnea syndrome (OSAS) is common in the pediatric age group, but it differs from adult OSA in epidemiology, mechanisms of obstruction, adverse effects, diagnostic criteria and recommended treatments. If not identified and treated early, it will lead to multiple medical complications, including pulmonary hypertension, behavioral problems, poor school performance, metabolic syndrome, poor quality of life, and increased health care use. There have been significant improvements in understanding the pathophysiology of OSAS in the last 2 decades and how to diagnose and manage such conditions. The literature was reviewed using a MEDLINE search through May 2020, and a large number of articles were selected and ranked according to the best evidence. These clinical guidelines are intended to help the front-line physician, such as pediatrician, family physician or ENT physician, determine what to do in such cases. While reviewing the history of sleep in pediatric patients, the first report was dated to Dr. William Hill and describes a patient with OSAS as follows: “a stupid child who is a mouth breather and snore at night with a frequent complains of headache at school time.” Dr. Cristian Guilleminault in 1973 was the first physician to describe sleep apnea: “A new clinical syndrome, with repeated episodes of cessation of breathing and frequent arousal at night that leads to insomnia and tiredness next day.” Three years later, the first description in the pediatric age group was reported. The American Academy of Sleep Medicine has highlighted the importance of sleep...
and suggested that a routine question should be added in any patient encounter during clerking. Due to the scarce of the OSA symptoms in pediatric age group, a high index of suspicions is strongly recommended, otherwise a detailed questioner is needed as screening tool for picking up such cases. Fortunately, there has been a tremendous improvement in the literature over the last 2 decades led by Dr. Gozal, who described SDB in detail as a wide spectrum of disorders with a very large impact on child health.6-9

These guidelines stress the following recommendations for the diagnosis of OSAS: 1) All children should be screened for snoring. 2) Snoring is pathological and should be investigated further. 3) OSA is common and increasing in children. 4) The prevalence of OSA is increasing. 5) OSAS requires a high level of suspicion, as symptoms in children could be scarce. 6) Detailed examination should focus on adenotonsillar disease, body mass index, and cardiac and craniofacial malformations. 7) High-risk patients or patients with comorbidities should be referred to a sleep physician. 8) High-risk patients should be monitored postoperatively. 9) Polysomnography (PSG) is the gold standard to confirm OSA and differentiate it from other types of sleep-disordered breathing. 10) Cardiac, metabolic, and neurobehavioral consequences should be carefully examined. 11) Adenotonsillectomy (AT) is the first-line while noninvasive ventilation with continuous or bi-level positive airway pressure (CPAP) or Bi Level Positive Airway Pressure (Bi-PAP) is the second-line therapy. 12) Potential residual OSA should be considered, and reevaluated for potential extra therapy.

These clinical approach is not intended to be a sole source of guidance in the evaluation of OSAS or replace clinical judgment; they are designed to assist pediatricians or primary care physicians in how to diagnose or treat such cases. In this review, we will explore all aspects related to OSA in pediatric patients, including the definition, epidemiology, pathophysiology, clinical features, morbidities, diagnosis, and treatment of OSA and end with a conclusion.

**Definition.** Sleep-disordered breathing (SDB) is a clinical spectrum with frequent episodes of either complete or partial obstruction of the upper airway during sleep that ranges from snoring to apnea. Sleep-disorder breathing is classified with increasing severity as follows:

**Primary snoring.** Known as simple snoring, this is the mildest form of SDB. Although known as habitual, it is defined as snoring more than 3 nights per week without evidence of hypoxia, hypercarbia or arousability.7,10

**Upper airway respiratory resistance syndrome.** Defined as snoring with increased effort needed to breathe during sleep and frequent arousals, without encountered obstructive events nor abnormal change in blood gas.2,10

**Obstructive hypoventilation (HV).** Defined as snoring plus elevated end-expiratory carbon dioxide partial pressure in the absence of recognizable obstructive events.7,10

**Obstructive sleep apnea syndrome.** Defined as a disorder of breathing during sleep characterized by intermittent complete obstructive or prolonged partial upper airway obstruction and/or apnea that distort ventilation while in a sleep further disturb normal sleep patterns.2 Classification based on PSG results as proposed by Dayyat et al7 stated that it is essential to differentiate OSA from other disorders as the treatment and complications are different.

**Epidemiology.** Sleep-disordered breathing occurs in all ages, starting from neonates to adulthood and the prevalence varies depending on the targeted populations studied, the methodology used, and criteria used for the diagnosis. Obstructive sleep apnea syndrome is estimated to occur in 1-5% of cases, with the most predominate age occurring at 2-8 years of age, with a mean of 14 months, which could be related to the peak growth of lymphoid tissue relative to or pharyngeal size. While the prevalence of primary snoring is reported to be approximately 15%, although it has been reported to be as high as 30% in the pediatric age group, the ratio of the prevalence of primary snoring to OSA vary from 4:1 to 6:1.7,9,11-13 Obstructive sleep apnea occurs equally among boys and girls during the prepubertal stage.9,14-16

It has been observed that environmental and family history, especially familial history of OSAS, snoring, allergies and exposure to environmental tobacco smoke, increase the risks. Prematurity also adds risk. Prevalence was reported to be higher (up to 3.5 times) in Asian and black children than in Caucasian children. From the adult literature, anthropometric characteristics of craniofacial features and racial differences could be

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predisposing factors. Obstructive sleep apnea is more common in certain conditions, such as Down syndrome, where the prevalence ranges from 31% to 72%. Neuromuscular disease increases the risk of OSA. Cerebral palsy increases risk for OSA due to palatopharyngeal incoordination. Obstructive sleep apnea has started to be as a major health problem in obese children with an alarming very high prevalence of 33-76%.22

Methods. We searched PubMed up to May 2020 using the following words in different combinations: Sleep-disordered breathing, obstructive sleep apnea syndrome, obesity, PSG, AT, continuous positive airway pressure (CPAP), Bi level positive airway pressure (BiPAP), consequences, complications, adenoidectomy, health care, steroid and guideline. Search filter include publications in the last 15 years, human species, and age less than 18 years. With these search, 745 were identified. Papers were excluded based on titles and abstract. More than 150 studies were includes. The included studies where highly selected based on the best evidence available. Guidelines and Cochrane reviews were intensively reviewed. The evidence was inspired from the recommendations in the center of evidence-based medicine website (www.cebm.net).

Pathophysiology. The pathophysiology of OSAS in children still poorly understood. The etiology of OSAS is multifactorial, involving a combination of structural and neuromuscular abnormalities of the upper airway. The upper airway is made of soft tissue that easily collapses during inspiration. This change is a dynamic process involving interactions between the sleep state, the air flow and the respiratory drive. The pharyngeal lumen both length and size is best explained by the balance force theory of Remmers et al. In the awake condition when neuromotor systems are active, there is negative intrapharyngeal pressure during inspiration created by the diaphragm and the outward dilating action of the upper airway muscles, mainly the genioglossus, and the pharyngeal lumen remains patent. When sleep commences, the neuromuscular tone decreases, resulting in a decrease in the pharyngeal luminal cross sectional area, which results in a flow limitation. Enlarged tonsils and adenoids are clearly an important risk factor in children, and the sizes of the tonsils and adenoids increase from birth, reaching the peak of development at 2-4 years then start to subside after the age of 4 years, another surge of growth may occur in the pubertal period during growth spurt; however, a number of studies have shown that there is no absolute correlation between the size of the tonsils and adenoids and the presence of OSAS. Genetic factors has an important role in the pathophysiology of OSA (Table 1). Children with obesity are certainly at high risk for developing SDB, and there is direct proportional between the severity of OSA to the degree of obesity, it was reported that the risk for OSA increased by 12% for any increment in body mass index (BMI) by 1 kg/m² beyond the mean BMI for age and gender. Obstructive sleep apnea is divided into 2 main types: type 1 involves marked lymphoid hypertrophy in the absence of obesity, while type 2 is associated with obesity and mild lymphoid hyperplasia.

Clinical features. History. Obstructive sleep apnea syndrome can cause a variety of daytime and nighttime symptoms in children. The majority of patients with OSAS present with nighttime symptoms such as a history of loud snoring, witnessed apnea and restlessness during sleep. Parents usually describe that their children are not comfortable during sleep with extended neck, paradoxical chest movement and

Table 1 - Disorders or conditions predisposing to obstructive sleep apnea syndrome (OSAS) in children.

<table>
<thead>
<tr>
<th>Disorder or Condition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hypertrophy (adenoid, tonsil or both)</td>
</tr>
<tr>
<td>Obesity (body mass index &gt;30)</td>
</tr>
<tr>
<td>Craniofacial abnormalities</td>
</tr>
<tr>
<td>Mandibular retrognathia</td>
</tr>
<tr>
<td>Mandibular hypoplasia (namely, Pierre Robin sequence, Treacher Collins syndrome)</td>
</tr>
<tr>
<td>Midfacial hypoplasia (namely, craniosynostosis eg Apert syndrome, Crouzon syndrome)</td>
</tr>
<tr>
<td>Storage disease: Hurler disease, Hunter disease or other types of Mucopolysaccharidooses</td>
</tr>
<tr>
<td>Abnormal control of breathing</td>
</tr>
<tr>
<td>Cerebral palsy</td>
</tr>
<tr>
<td>Rapid-onset obesity, hypothalamic dysfunction, hypoventilation, and autonomic dysregulation (ROHHAD), Joubert syndrome</td>
</tr>
<tr>
<td>Combinations of the above disorders or conditions</td>
</tr>
<tr>
<td>Down's syndrome</td>
</tr>
<tr>
<td>Sickle cell disease</td>
</tr>
<tr>
<td>Achondroplasia, hypochondroplasia</td>
</tr>
<tr>
<td>Prader-Willi syndrome</td>
</tr>
<tr>
<td>Osteopetrosis</td>
</tr>
<tr>
<td>Duchenne muscular dystrophy</td>
</tr>
</tbody>
</table>
gassing, choking and awakenings from sleep, and these symptoms may leave the parents anxious as they wait around the child to shake the child with the aim of terminating these events, which leads to disturbances in the parents’ sleep. Occasionally, they prefer to sleep in a sitting position, and in severe cases, rarely cyanotic spells of the face or extremities will be observed. Nocturnal enuresis is very pathognomonic for severe OSA and is present in 25% of patients (Table 2). Despite such large concerns, primarily at night, these children breathe smoothly during the daytime when awake.

Daytime symptoms depend on the underlying cause. If the main causative factor is adenotonsillar hypertrophy, the child may present with mouth breathing, poor oral hygiene, frequent upper respiratory tract infection, frequent otitis media, hyperactivity and even low attention, dysphagia and poor oral appetite, while the patient with obesity as the underlying cause may present with metabolic syndrome, excessive daytime sleepiness and mood change.

During sleep, loud snoring, tachypnea with labored breathing, flaring alae nasi, tracheal tug and sometimes paradoxical breathing will be heard. Physicians should be alert for potential complete obstruction of the breathing passage where the child shows some respiratory effort but no snoring is heard; airflow will be detected, which might continue for several seconds, until the child is aroused and awakened, which might be followed with a sigh. The child’s color might become more dusky, and the event often frightens the parents.

### Physical examination.

Usually, the physical examination is conducted while the child is awake either at the clinic or emergency dependent, and the examination might be completely normal. This high level of suspicion might be easily overlooked, and we used to advise parents to record the event a head of time to the doctor visit, although the majority of symptoms are apparent in the last third of the night when the parents are often in deep at sleep. It is rare for physicians to have the opportunity to see the event. Metuculous physical examination conducted on the patient with OSAS should focus on the following points: the growth chart of the patients might show failure to thrive or obesity. The presence of an adenoid face should be looked for. Craniofacial malformations (retrognathia, micrognathia, hypoplasia of maxillary bone) should be assessed. The nasal passage should always be inspected for any deviation, blockage, hypertrophied nasal mucosa, or presence of secretion, the speech quality should be assessed as some patients might show nasal quality, and fluids behind ear drum might be observed in the presence of adenotonsillar hypertrophy. The patency pharynx should be evaluated for tongue size (grades +1 [intravelic] and +2 [extravelic] are normal, while +3 [not reaching midline] and +4 [reaching midline] are enlarged), as it is commonly associated with type 1 OSA. Several studies did not show any relationship between the size of the tonsils and adenoids and the presence of OSAS. Generally, in the literature, there is an agreement to use history and physical examination as a screening tool to determine whether patients need extra investigation, such as a complete sleep study, but there remains some controversy regarding interventions such as adenotonsilectomy. The presence of pectus excavatum reflects long-standing disease, and accentuated heart sounds in the pulmonary area are a reflection of potential pulmonary hypertension. Often, chest auscultation is clear. Detailed neurological and developmental should be assessed in any patient with suspected SDB.

### Table 2 - Normal values for polysomnography.41,42

<table>
<thead>
<tr>
<th>Measurement</th>
<th>Children</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total sleep time</td>
<td>≥6 hours</td>
<td></td>
</tr>
<tr>
<td>Sleep efficiency</td>
<td>≥85</td>
<td>Large variability</td>
</tr>
<tr>
<td>Sleep latency (minutes)</td>
<td>23</td>
<td>Large variability</td>
</tr>
<tr>
<td>Arousal index (number/hours)</td>
<td>9-16</td>
<td></td>
</tr>
<tr>
<td>REM latency</td>
<td>87-155 minutes</td>
<td>136-156 minutes &gt;10 years</td>
</tr>
<tr>
<td>Stages (TST %)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stage N1</td>
<td>4-5</td>
<td>20% &gt;10 years REM increase during infancy</td>
</tr>
<tr>
<td>Stage N2</td>
<td>44-56</td>
<td></td>
</tr>
<tr>
<td>Stage N3</td>
<td>29-32 ≤10 years</td>
<td>17-21</td>
</tr>
<tr>
<td>Stage R</td>
<td>17-21</td>
<td></td>
</tr>
<tr>
<td>Apnea-hypopnea index (number/hours)</td>
<td>≤1.4</td>
<td></td>
</tr>
<tr>
<td>Central apnea index</td>
<td>≤0.4</td>
<td></td>
</tr>
<tr>
<td>Time with saturation</td>
<td>≤90</td>
<td></td>
</tr>
<tr>
<td>Peak end-tidal carbon dioxide (≥50 mm Hg)</td>
<td>≥25</td>
<td></td>
</tr>
<tr>
<td>PLMI</td>
<td>≤4.3</td>
<td></td>
</tr>
</tbody>
</table>

REM: rapid eye movement, PLMI - periodic leg movement index, TST - total sleep time.
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**Diagnosis.** Early diagnosis and referral to optimal therapists will decrease morbidity and mortality.\(^2,25\) There is often a significant delay in the diagnosis of OSAS that could exceed 3 years due to multiple factors, including physicians, parents and third parties. The American Academy recommends starting screening patients with snoring; if they are positive, then they should be evaluated further for SDB, especially OSA.\(^38\)

**Polysomnography (PSG).** In the literatures, PSG is the gold standard tool in the diagnosis OSA in children. It remains very expensive and time-consuming diagnostic tool, until this moment, is not easy to be conducted, no alternative method for diagnosing OSA in children. It is important to remember that the parameter used in scoring system for the respiratory events in children is totally different from those used in adults. Furthermore, PSG can differentiate primary snoring from OSA, and the severity of OSA can be determined.\(^39\)

Indications for PSG: 1) Clinical presentation of OSAS; 2) Prior AT to treat OSAS; 3) Persistent symptoms after AT; 4) Assess for potential residual OSAS in high risk group children (severe OSAS, obesity, craniofacial anomalies and neurologic disorders); 5) Titration of nasal CPAP; 6) HV whether central (congenital central alveolar HV syndrome or peripheral (neuromuscular disorders or chest wall deformities); 7) Infants who have experienced a brief resolved unexplained event (BRUE); 8) Prior to decannulation in tracheostomy cases.

Diagnostic criteria for OSA by the American Academy of Sleep Medicine consist of both clinical and polysomnographic criteria,\(^40,41\) and the normal values are shown in Table 2.

Clinical criteria: presence of at least one of the following features: a) snoring; b) labored, paradoxical or obstructed breathing during sleep; c) sleepiness, hyperactivity, behavioral problems, or learning problems.

Polysomnographic criteria: 1) More than one apnea per hour of sleep (obstructive, mixed or hypopneas) or 2) Hypercarbia >50 mm Hg >25% of the total sleep time with at least one of the following: snoring or flattening of inspiratory nasal pressure waveform or paradoxical thoraco-abdominal motion.

Classification of SDB severity categories. SDB is classified with increasing severity as follows: (I) primary snoring; (II) upper airway respiratory resistance syndrome; (III) hypoventilation; (IV) obstructive sleep apnea (Table 3).

**A home sleep apnea test (HSAT).** In recent adult literatures, a home sleep apnea test (HSAT) is an acceptable alternative diagnostic tool to (PSG) in selected patient to confirm the OSA, but utility in children is not yet valid.\(^43,44\)

**Home PSG.** Home PSG is a relatively new diagnostic tool to assess the OSA, it is less expensive than the usual PSG, and often associated with some

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**Table 3 -** The severity of sleep-disordered breathing (SDB) based on polysomnography studies.\(^7,42,43\)

<table>
<thead>
<tr>
<th>SDB based</th>
<th>AH1 (hour/TST)</th>
<th>Nadir Sp02 (%)</th>
<th>PET CO2 &gt;50 mm Hg (%TST)</th>
<th>RAI (hour/TST)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Normal</td>
<td>≤1</td>
<td>&gt;94</td>
<td>&lt;10</td>
<td>&lt;1</td>
</tr>
<tr>
<td>Primary snoring</td>
<td>≤1</td>
<td>&gt;94</td>
<td>&lt;10</td>
<td>&lt;2</td>
</tr>
<tr>
<td>UARS</td>
<td>≤2</td>
<td>&gt;92</td>
<td>10-15</td>
<td>≥2</td>
</tr>
<tr>
<td>Hypoventilation</td>
<td>≤2</td>
<td>&gt;92</td>
<td>&gt;20</td>
<td>≥2</td>
</tr>
<tr>
<td>Obstructive sleep apnea</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mild</td>
<td>2-5</td>
<td>88-92</td>
<td>10-15</td>
<td>2-5</td>
</tr>
<tr>
<td>Moderate</td>
<td>5-10</td>
<td>80-88</td>
<td>15-20</td>
<td>5-8</td>
</tr>
<tr>
<td>Severe</td>
<td>&gt;10</td>
<td>&lt;80</td>
<td>&gt;20</td>
<td>&gt;8</td>
</tr>
</tbody>
</table>

AHI: apnea hypopnea index, SPO2: saturation, PET CO2: percutaneous end tidal carbon dioxide, RAI: respiratory arousal index, HV: hypoventilation, TST: total sleep time, UARS: upper airway respiratory resistance
technical difficulties as children could remove leads. Reconnection could be difficult, for this reasons, retesting might be needed further, it may underestimate the severity of OSA.

**Nocturnal pulse oximetry.** Overnight oximetry is increasing in popularity as a diagnostic test for OSA, as it is inexpensive, available in most centers, easily performed in hospitals or at home, and generally easily processed by computer software programs.\(^{45,46}\) Overnight oximetry proved to be a good alternative tool for OSA screening in the adult population. In other healthy children, overnight oximetry has a 97% positive predictive value for OSA.\(^ {47}\) Thus, abnormal overnight oximetry test in a child with symptoms of OSA is frequently considered adequate to recommend proceeding to first-line therapy, AT. In literature, a positive result for OSA was reported when patient has \(\geq 3\) desaturation clusters and at least \(3\) desaturations <90% (Figure 1). Overnight pulse oximetry is useful for the OSA severity, The McGill Oximetry Score which was proposed by the Canadian Brouilette group is recommended scale in children with OSA; however, in patients with symptoms suggestive of OSA may have unremarkable overnight pulse oximetry, for this absence of nocturnal hypoxemia on home oximetry testing does not rule out a diagnosis of OSA. Approximately 40% of children with unremarkable oximetry were subsequently identified as having clinically significant OSA on PSG testing.

Figure 1 summarized the oxygen saturation distribution. Clusters of desaturation occur more frequently in the rapid eye movement (REM) stage, which is very consistent with OSA.

**Nap study.** Due to the difficulty of reaching the sleep center plus the long waiting lists and the expenses of this type of study, napping studies have emerged as a potential alternative method for PSG, but spontaneous daytime sleep is difficult and unfamiliar for children; furthermore, daytime sleep rarely contains the REM stage where most of the respiratory events occur, and therefore, the severity of OSA is often underestimated. Sensitivities ranged from 69% to 75% and specificities

### Table 4 - Severity of obstructive sleep apnea (OSA) based on clinical and laboratory result.

<table>
<thead>
<tr>
<th>Clinical and laboratory result</th>
<th>Mild</th>
<th>Moderate</th>
<th>Severe</th>
</tr>
</thead>
<tbody>
<tr>
<td>Growth parameter</td>
<td>Normal</td>
<td>Poor growth</td>
<td>FTT</td>
</tr>
<tr>
<td>Cardiac strain</td>
<td>None</td>
<td>Mild ECG/ECHO abnormalities</td>
<td>Cor pulmonale</td>
</tr>
<tr>
<td>Neurological signs</td>
<td>None</td>
<td>Subtle</td>
<td>Developmental delay</td>
</tr>
<tr>
<td>Apnea-hypopnea index</td>
<td>&lt;5</td>
<td>5-10</td>
<td>&gt;10</td>
</tr>
<tr>
<td>Exhaled carbon dioxide (mm Hg)</td>
<td>&lt;55</td>
<td>55-60</td>
<td>&gt;60</td>
</tr>
<tr>
<td>Saturation</td>
<td>&gt;92</td>
<td>92-88</td>
<td>&lt;88</td>
</tr>
</tbody>
</table>

FTT - failure to thrive
from 60% to 100% have been reported. A positive study is enough to proceed for AT, but a negative study does not rule out significant OSA because nap studies are not recommended in children.48

**Audio and video taping.** Audio taping at home has a 75% positive predictive value while videotaping could reach up to 83% to detect OSA in children. Both can record apneas with ease but the main negative side for these testing are underestimating hypoapnea and flow limitations. Furthermore, discrepancies from different centers make this method unreliable in children.49

**Pediatric sleep questionnaire (PSQ).** The PSQ is a validated questionnaire that contains 22 symptom items filled by parents prior to or on arrival to the sleep center in 4 main domains: assess snoring (frequency, and loudness), sleep related breathing (witnessed apneas, restlessness during sleep, enuresis, sleeping with extended neck,) day time sleepiness (hard to awake up, feeling unrefreshed or morning headache,) and behavioral problems (hyperactive or low attention and easily distracted). The PSQ is a useful screening tool with sensitivity of 85% and specificity of 87%, in healthy children with good internal consistency and good test-retest stability.50 The PSQ can predict outcomes in children undergoing AT for SDB, and it can be utilized as screening tool to identify patients with SDB who might improve with AT (Appendix 1).51

**Lateral neck x-ray.** Lateral neck x-ray is simple and widely used in pediatric patients with low cost and minimal radiation. Often, it does not represent the real situation as it is usually acquired with the child in the awake condition.52 Brook et al57 found a reasonable result when the adenoid to nasopharyngeal size was used in correlation with the apnea-hypoapnea index (AHI) with positive of 71% and negative predictive values of 75%.

**Magnetic resonance imaging.** Magnetic resonance imaging of the airway is an excellent tool to identify and localize the site of obstruction for certain subgroups of children, such as those with obesity or those with craniofacial malformations. It is an excellent tool to assess the soft tissue anatomy without risk of radiation and provides beautiful pictures, whereas the disadvantages include cost, age and weight limitations, and sedation.53

**Nasoscopy.** Flexible fiberoptic endoscopy is a simple tool allows proper evaluation of the nasal passages. It assess the nasal patency, helps in detailed description of intranasal structures (namely, choanal atresia, nasal deviations, turbinates’ hypertrophy or enlarged adenoid. It is a great tool to assess the Epiglottis to assess presence of laryngomalacia or vocal cord dysfunction. In selected patients, drug-induced sleep endoscopy (DISE) is safe and helpful in assessing the severity of OSA;54 however, its use in children remains controversial. There are some promising data as this method can explore the site of obstruction especially at hypopharyngeal area and can be indicative of moderate to severe or residual OSA.55

**Microbiome.** Huajuan Xu et al56 reported potential use of oral and urinary micro biomes as alternative to PSG in diagnosing OSA especially in centers with the long waiting list for the sleep study.

**Consequences of sleep-disordered breathing.** Cardiovascular consequences. This has been the presentation of OSA in early literature as these cases were missed for a long time.32 Pulmonary hypertension is the most severe cardiovascular consequence if OSA is not treated. Fortunately, we are not seeing these consequences as often as before due to the early recognition of OSA and early intervention. Although, the exact cause is not clear, upper airway obstruction leading to intermittent hypoxia during sleep, such can cause increase in pulmonary artery pressures and potentially lead to abnormal control of blood pressure (BP), endothelial dysfunction and cardiac remodeling. Amin et al57 observed an alarming result (namely, obliteration of the natural reduction of blood pressure during sleep (reduced nocturnal dipping), greater variability of mean BP between both awake and sleep status, and higher systolic BP at night, such are well known risk factors for ischemic heart disease in adults. Kirk et al58 observed increased BP during sleep in 30 nonobese OSA patients in the absence of any other risk factor for high BP. Obstructive sleep apnea in children present with low level of exercise capacity led to decreased cardiac output and oxygen consumption at peak exercise capacity.59 Obstructive sleep apnea was associated with increased left ventricular mass, where abnormalities in LV geometry were present in 15% of children with primary snoring compared with 39% of children with OSA.60 Gozal et al61 in those with a strong family history of ischemic heart disease, found significant improvement in endothelial dysfunction after treatment of OSA with AT or CPAP, suggesting that genetic and environmental factors affect the presentation. Overnight, heart rate recently emerged as a sensitive parameter to assess the severity of OSA in children as reported in CHAT study.45,62,63 Obstructive sleep apnea established as a risk for cardiovascular morbidities. Redline et al,63 reported children with snoring who underwent complete sleep study found to have, 55% are positive for OSA. Fifty-two percent of children with severe OSA had systolic BP in stage 2 when
measured 2-3 time from right hand in sitting position in the OPD clinic. The OSA affect the circadian rhythm for hormone release leading to disturbance in the hypothalamic-pituitary-adrenal axis resulting in an increment of cortisol release, which has some effect on the metabolic and BP regulation. Marcus et al studied 41 children with OSA were predominant male 66% further, 32% were hypertensive. Nowadays, severe OSA in children increasing mean pulmonary artery pressure and right ventricular dysfunction. Prevalence rates of cor pulmonale and congestive heart failure decreased after AT but have been reported to be 10-12%. Lower scoring in both (math and reading) were observed in overweight children compared to none overweight children, as increasing BMI is not favorable for cognitive function.

Neurometabolic. Neurocognitive dysfunction is now a well-recognized consequence of OSA in children. Obstructive sleep apnea has been reported to cause significant school problems in children, such as low attention, aggressive behavior, poor academic performance, excessive daytime sleepiness and behavioral illness. Obstructive sleep apnea-type 1 has been associated with hyperactivity and with impaired cognitive function. Approximately 25% of those with OSA exhibit ADHD; on the other hand, 70% of those with ADHD show signs of OSA, and this behavior improved after AT. Low levels of attention has been reported in OSA patients, and the exact mechanism remains obscure, but intermittent hypoxia and sleep fragmentation may lead to changes in neurotransmitters in the cortex, resulting in such dysfunction. Lower scoring in both (math and reading) were observed in overweight children compared to none overweight children, as increasing BMI is not favorable for cognitive function.

In China, Lì et al reported that the IQ was surprisingly lower in obese children compared those with normal weight, which raised a question, can we use the BMI as a marker for academic performance. The prevalence of behavioral and learning difficulties is a dose dependent to rapid gain weight in children. The childhood adenotonsillectomy trial (CHAT) study need to be watched as it is looking not only for sleep improvement but also for neurocognitive and quality of life after the intervention.

Growth impairment. In the earlier literature, failure to thrive was a common manifestation of OSA, and it was reported to be as 56% in patients with OSA. Luckily, the prevalence of growth impairments has been markedly reduced in recent literature. Furthermore, children often show significant improvements in weight after AT, indicating potential causative factors for growth impairment (namely, decrease in appetite and alterations in smell, increased basal metabolic rate due to stridorous and labored breathing, dysphagia due to enlarged tonsils, decreased insulin growth factor-1 and growth hormone release). In fact, weight gain remained a big concern for obese and non-obese children post AT. Obstructive sleep apnea should be included in the differential diagnosis in any patient with unexplained failure to thrive or poor weight gain.

Obesity. Although obesity predisposes children to sleep-related upper airway obstruction, majorities of patients with OSAS are not obese. A study of OSAS in obese Singapore children estimated the prevalence to be 5.7% overall and 13.3% in morbidly obese children (percentage ideal body weight >180). But it was reported as high as 60% in obese children and adolescents. Redline et al, confirm that the obesity is a significant risk factor for OSAS in children Rosen reported more referrals for OSA assessment in obese children compared to nonobese children. Currently, the prevalence of obesity has markedly increased worldwide, for this reason a second phenotypes of OSA, similar to the adult emerged in children called OSA (type II), that are newly emerging, and these obese patients are prone to metabolic syndrome and decreased quality of life compared to nonobese adults. Fifty-five percent of children scheduled for sleeve surgery had OSA. The severity of OSA paralleled the severity of obesity in an analysis that suggested for each unit increase in BMI, there was a 12% higher risk of OSA.

Metabolic syndrome. Metabolic syndrome is defined as a tetrad (obesity, dyslipidemia, insulin resistance, and hypertension). It is a known risk factor for cardiovascular disease in adults. Weiss et al found that obesity was the main component contributing to increased risk as BMI increased. Metabolic syndrome reported as 50% in children with severe obesity. Increased BMI and raised fasting insulin levels during childhood emerging as the strongest predictors of metabolic syndrome in adulthood. In adult patients, OSA similar to obesity has been recognized as an important risk factor for metabolic syndrome. In children, both insulin resistance (measured by the insulin/glucose ratio or clinically by presence of darkening of the skin in folds and creases, like the neck and armpits [acanthosis nigricans] and altered lipidemia [evidence of increased plasma triglyceride] are mainly affected by obesity and are less likely to be affected by OSA. However, similar to adults, when obesity and OSA-(type II) are present together the risk of metabolic syndrome will be exaggerated and may cause even liver steatosis.

Nocturnal enuresis. Obstructive sleep apnea is associated with a higher prevalence of nocturnal enuresis, reaching 50%. Nocturnal enuresis has a
direct correlation with the AHI and has been reported as the most predictive symptom for OSA in children who snore. This may be due to the effects of elevated B-type natriuretic peptide (BNP) levels that affect the renin-angiotensin pathway, where inhibiting the antidiuretic hormone leads to enuresis with significant improvement after AT. Obstructive sleep apnea is an important cause of nocturnal enuresis in children and should be considered in the differential diagnosis of nocturia.

**Malignancy.** There is recent epidemiological data suggesting that OSA is carcinogenic, the potential existence of severe OSA and cancer has gained increasing attention in the last decade. The mechanism is not yet clear, multiple studies still going. Animal exposed to chronic intermittent hypoxia and sleep fragmentation can trigger oxidative stress and chronic inflammation, enhance oncogenic pathway, the data here still primitive and need to be observed.

**Health care burden.** Obstructive sleep apnea is a chronic condition that affects daily life. Tarasiuk & Reuveni reported 226% increased health care costs in children with OSA, and another report with a similar finding of 215% was reported by Tarasiuk & Reuveni where the contributing factors were multiple admissions and frequent emergency visits. The Sleep Heart Health Study reported that OSA patients had an 18% increase in predicted healthcare utilization based on medication use. Patients with OSA have multiple additional comorbidities, and OSAS severity correlates directly to total annual costs. The treatment of OSA in children decreased health care costs by 1/3 and decreased admissions after AT by 60%.

**Management.** Adenotonsillectomy remains the treatment of choice in other healthy children, and the presence of additional risk factors is not a contraindication to AT. The success rate could reach 80% in non-high-risk groups, but the patients should be observed postoperatively for potential pulmonary compromise. Multiple risk factors have been reported in the literature:

1. Young age: <3 years
2. Severe OSA by PSG (AHI >10/hours)
3. Associated medical conditions
   - Syndromes or craniofacial anomalies
   - Neuromuscular disorders
   - Ongoing respiratory infection
   - Premature birth
4. Comorbidities:
   - Pulmonary hypertension/cor-pulmonale

- Failure to thrive
- Obesity

Following surgery, patients in the category of high risk should be observed for one night in a facility where appropriate monitoring for vital signs, saturation, and proper care like noninvasive or invasive support if needed.

Residual OSA is suspected in children with comorbidities, for example, approximately 50% in obese children and 30% in Down syndrome; these patients should be reassessed. Repeated PSG is recommended several weeks after surgery.

**Adenoidectomy.** Adenoidectomy alone was primarily used as a first-line therapy for OSA due to the risk of morbidity, lower cost and shorter hospitalization, with relatively comparable postoperative results in children with moderate OSA, namely, AHI <10/hours, and who were not obese. The majority of researchers are not recommending adenoidectomy alone for the treatment of OSA in children because symptoms and signs of OSA frequently persist after adenoidectomy alone. Furthermore, tonsillectomy alone is less effective than AT for pediatric OSA.

**Positive airway pressure treatment.** Continuous positive airway pressure is the second-line therapy in children, in contrast to adults, where it is the first-line therapy; immediate and long term efficacy are well established in adult literatures since its invention in 1983 by Dr Sullivan. Continuous positive airway pressure is a noninvasive method of providing distending pressure applied at nose then directed through the airway leading to increase airway patency during respiration and prevent soft tissue from collapse. There are several modalities for delivering the CPAP:

- i) Nasal: small and appropriate mask over the nose only.
- ii) Nasopharyngeal (NP) CPAP: an airway tube placed through the nose whose tip terminates in the nasopharynx.
- iii) Face mask: appropriate face mask is placed over the nose and mouth with good seal.

Continuous positive airway pressure stent the airway, aid in oxygenation through increasing the surface area of the alveolus, improve the functional residual lung capacity and decrease the effort needed to breathe during sleep. According to the American Academy of Pediatrics, it is an optional therapy in severe OSA patients until surgery takes place, and for residual OSA after AT; it has been reported to be as effective as 90% with high dropout (50-80%). Regular follow-up is crucial. There is a need for different mask sizes to fit the children. The compliance remains a big problem and should be monitored carefully such can be achieved by behavioral techniques (training parents, positive rewards,
desensitization, and remodeling). The child often needs several nights with continuous education until the mask is tolerated and the child feels an improvement in sleep quality. Auto CPAP is relatively new machine, have 2 setting pressure automatically adjust the airway pressure during sleep. If the patient remained symptomatic with the retention of carbon dioxide, Bi-PAP is recommended ideally through a titration study in the sleep center. Complications of noninvasive ventilation include nasal sore, nasal congestion, conjunctivitis, air leak, abdominal distension, and midfacial hypoplasia.\textsuperscript{106}

**Medical therapies.** Children with mild or moderate OSA with blocked nose or adenoid hypertrophy are usual candidates for medical therapies as alternatives or adjuvants to AT. Different regimens have been used in children such as inhaled steroids, montelukast, or combinations

**Intranasal corticosteroids.** Numerous studies have proven the efficacy of inhaled steroids in patients with OSAS. It is the most effective medical therapy and often prescribed as topical intranasal corticosteroids for 4-8 weeks.\textsuperscript{107}

**Leukotriene modifier therapy.** Montelukast for 3 months has modest benefit in the treatment of mild and moderate OSA, and it proved to be effective in reducing adenoid hypertrophy and improving OSA symptoms.\textsuperscript{108} Parents should be aware of recent concern on neuropsychiatric event in children with the use of montelukast, the data come from asthmatic patients but to be mentioned and observed.\textsuperscript{106,109,110}

**Combination therapy.** The combination of inhaled steroids and antileukotriene for 3 months were effective in several papers. Kheirandish et al\textsuperscript{111} demonstrated an efficacy of more than 80% in mild OSAP patients, especially in young and nonobese patients, in a large study with 752 patients. Occasionally, short systemic steroids might be helpful. More data still needed for long-term efficacy and safety in children using combined therapies.

**Adjunct therapies.** i) Weight loss is strongly recommended for obese children with OSA, it often work as adjuvant therapy. Nutritional counseling and close follow-up are recommended, especially for overweight or obese patients.\textsuperscript{9} ii) Environmental controls-children with OSA should avoid exposure tobacco smoke pollutions or indoor irritant or any other environmental allergens, as they may lead to blocked nose and the worsening of OSA symptoms. iii) Antibiotics are not routinely indicated in treatment of pediatric OSA. Few reports have shown some benefit in reducing adenotonsillar size, which might be beneficial if OSA symptoms are related to chronic sinus infection with blocked or purulent nasal discharge.\textsuperscript{112} iv) Orthodontics rapid maxillary expansion is rarely used in the pediatric age range.\textsuperscript{113} v) Other surgical options in treating OSA (although rarely needed in children) as nasal turbinate reduction, tongue reduction, tongue suspension procedure, and tracheostomy are options in some difficult cases.\textsuperscript{114,115}

In conclusion, early diagnosis and treatment of pediatric OSAS is encouraged and may improve a child’s long-term cognitive and social potential and school performance. Increasing prevalence of OSA type II parallels the increasing prevalence obesity and is a nightmare for sleep physicians, who expect more residual OSA and a shift in the consequences to metabolic and cardiac consequences. The country has an urgent need for effective educational programs to alert populations about the importance of the consequences of OSA and the economic burden on the health system. The utilization of sleep physicians and sleep centers is still underdeveloped. Sleep medicine is an area for future research and should be encouraged.

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**References**


Clinical approach ... Al-Shamrani & AlHarbi

## Appendix 1 - Pediatric sleep questionnaire.

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
<th>DK</th>
</tr>
</thead>
<tbody>
<tr>
<td>A1 … snore more than half the time?</td>
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<tr>
<td>A2 … always snore?</td>
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<td>A3 … snore loudly?</td>
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<tr>
<td>A4 … have “heavy” or loud breathing?</td>
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<tr>
<td>A5 … have trouble breathing, or struggle to breath?</td>
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<td><strong>Have you ever…..</strong></td>
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<tr>
<td>A6 … seen your child stop breathing during the night?</td>
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<td><strong>Does your child…..</strong></td>
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<tr>
<td>A7 … tend to breathe through the mouth during the day?</td>
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<tr>
<td>A8 … have a dry mouth during the day?</td>
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<tr>
<td>A9 … occasionally wet the bed?</td>
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<tr>
<td><strong>Does your child …</strong></td>
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<td>A10 … wake up feeling unrefreshed in the morning?</td>
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<td>A11 … have problem with sleepiness during the day?</td>
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<tr>
<td>A12 … has a teacher or other supervisor commented that your child appears sleepy during the day?</td>
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<tr>
<td>A13 … is it hard to wake up your child in the morning?</td>
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<tr>
<td>A14 … wake up with headaches in the morning?</td>
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<tr>
<td>A15 … did your child stop growing on a normal rate at any time since birth?</td>
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<tr>
<td>A16 … is your child overweight?</td>
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<td><strong>This child often …</strong></td>
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<td>A17 … does not seem to listen when spoken to directly</td>
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<td>A18 … has difficulty organizing tasks or activates</td>
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<td>A19 … is easily distracted by extraneous stimuli</td>
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<tr>
<td>A20 … fidgets with hand or feet or squirms in seat</td>
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<tr>
<td>A21 … is on the go ‘or often act like if ‘driven by a motor’</td>
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<tr>
<td>A22 … interrupts or intrude on others (namely, butts into others in conversations or games)</td>
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</table>